

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail** Mail Stop ISSUE FEE  
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I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Fani Malikouzakis

(Depositor's name)

*Fani Malikouzakis*

(Signature)

June 11, 2008

(Date)

06/11/2008 SSESHE2 00000074 09975690

01 FC:1501 1440.00 OP  
 02 FC:1504 300.00 OP  
 03 FC:8001 9.00 OP

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/975,690	10/11/2001	William W. Lee	NY-THEOR 203.1-US	2373

TITLE OF INVENTION: SMART GENERATOR

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1440	\$300	\$0	\$1740	06/11/2008

EXAMINER	ART UNIT	CLASS-SUBCLASS
CHOUDHURY, AZIZUL Q	2145	717-104000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
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2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Fulbright & Jaworski LL

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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type).

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

BEA SYSTEMS, Inc.

San Jose, California

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee  
☒ Publication Fee (No small entity discount permitted)  
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- ☐ A check is enclosed.  
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☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 500624 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

*C. Andrew Im*

Date June 11, 2008

Typed or printed name

C. Andrew Im

Registration No. 40,657

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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THEOR 203.1 US (101074320)

**IN THE UNITED STATES PATENT AND TRADEMARKS OFFICE**

Applicant(s): William W. Lee et al.

Serial Number: 09/975,690

Filed: October 11, 2001

For: SMART GENERATOR

Art Unit: 2145

Examiner: A. Q. CHOUDHURY

Class-Subclass: 717-104000

Confirmation No. 2373

Mail Stop: Issue Fee

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**TRANSMITTAL LETTER**

Sirs:

Enclosed for filing are: Fee amount

☒ Issue Fee and Form PTOL-85b

\$1440.00 (Large)

☒ Publication Fee

\$ 300.00

☒ Assignment and fee

\$

☒ Supplemental Declaration - no charge☒ Priority Document(s) - no charge☒ Number Number☒ Advance Order (3 copies)

\$ 9.00

Total \$1749.00

☒ Other:

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☒ I hereby certify that this Issue Fee Transmittal is being facsimiled to fax no. 571-273-2885 of the Mail Stop: Issue Fee, Commissioner for Patents on June 11, 2008 by Fani Malikouzakis

Respectfully yours,

C. Andrew Im

Reg. No. 40,657

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